

# Confidential Producer Profile

## **GENERAL INFORMATION:**

Legal Name of Organization: \_\_\_\_\_

DBA (if different): \_\_\_\_\_

Current Street Address: \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Current Mailing Address: \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Phone #: \_\_\_\_\_ Fax #: \_\_\_\_\_

Watts Line#: \_\_\_\_\_ Night #: \_\_\_\_\_

E-Mail Address: \_\_\_\_\_

Web Site: \_\_\_\_\_

Year Business Established: \_\_\_\_\_

Federal Tax Identification Number: \_\_\_\_\_

## **ADMINISTRATIVE:**

P&C Premium Volume last fiscal year: \$ \_\_\_\_\_ For Fiscal Year  
Ended: \_\_\_\_\_

Commercial %: \_\_\_\_\_% Personal %: \_\_\_\_\_

Type of legal organization (check one):

- Sole Proprietorship     Partnership     Corporation     S-Corporation  
 LLC     Other (please describe): \_\_\_\_\_

**Ownership Information:**

<b>Current Names</b>	<b>Shareholders/Partners/Members</b>	<b>Ownership % of Each</b>
<b>TOTALS</b>		<b>100%</b>

Does the Agency have a buy/sell agreement?  yes  no

Current number of full-time equivalent employees (combine part-time into full-time): \_\_\_\_\_

**Agents Errors and Omissions Insurance:**

Carrier: \_\_\_\_\_

Limits: Each Claim: \$ \_\_\_\_\_ Aggregate: \$ \_\_\_\_\_

Deductible: \$ \_\_\_\_\_

Expiration Date: \_\_\_\_\_

- **Please attach a copy of your current E&O Policy declarations page or a current certificate of insurance on your Agent's Errors & Omissions Insurance Policy.**

**P&C License Information:**

Name of Licensee	Type of License	State of License	License Number

Does your agency hold a Surplus Lines License in Texas?  yes  no

If yes, please enter License number: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

Name of individual who hold Surplus Lines License: \_\_\_\_\_

- Please attach copies of the above licenses

**Agency Personnel:**

Title	Name	Direct Phone Number	Email Address
CEO/President			
Agency Manager			
Marketing Director			
Farm & Ranch Contact			
Accounting Contact			
Commercial Lines CSR			
Commercial Lines CSR			
Commercial Lines CSR			
Commercial Lines CSR			
Comm Lines Producer			
Comm Lines Producer			
Comm Lines Producer			
Comm Lines Producer			

**Market Data:**

Please list major directly appointed carriers and wholesale brokers in order of last fiscal year's premium volume with each:

For Fiscal Year Ended: \_\_\_\_\_

<b>Company Name</b>	<b>Carrier or Wholesale Broker</b>	<b>Commercial Lines Premium (nearest \$1,000)</b>	<b>Farm &amp; Ranch Premium (nearest \$1,000)</b>	<b>Commercial Lines Loss Ratio %</b>	<b>Farm &amp; Ranch Loss Ratio %</b>

Does the Agency have branch offices?  yes  no

If yes, Location: \_\_\_\_\_

Location: \_\_\_\_\_

Account records maintained by (check one):

Manual System  Batch System  Stand-Alone System

Name of agency management system provider (e.g., Applied, AMS, etc): \_\_\_\_\_

Has the Agency canceled any company appointments or had appointments canceled by any companies in the past three years?  yes  no

If yes, please explain:

Do you have any Target Markets or other special marketing programs in your agency?

yes  no

If so, please describe below:

Type of Industry	Lines of Business	Company	Premiums
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**WARRANTIES:**

**Are you, as an owner of the Agency, willing to certify to the best of your knowledge to the following warranties made to Barmore Insurance Marketing Associates:**

1. The agency's book of business is 100% owned by the Shareholders/Partners/Members listed on page 2 of this profile?  
 yes  no

If no, please explain:

2. The Agency Owners have title to all Agency assets?  yes  no

If no, please explain:

3. Has the agency or any agency principal ever had a license denied by any state (except for failure to pass an examination)?  yes  no

If yes, please explain:

4. Has the agency or any agency principal ever had a license revoked, canceled or non-renewed by any state?  yes  no

suspended,

If yes, please explain:

5. Is the agency or any agency principal indebted to any insurance company, general agent, manager or broker?  yes  no

If yes, please explain:

6. Is any insurance company, general agent, manager or broker claiming that the agency or any principals are indebted to them?  yes  no

If yes, please explain:

7. Has the agency or any agency principal filed for, or been discharged from, any bankruptcy, insolvency or assignment for the benefit of creditors with a filing or discharge date, whichever is later, within the last five years?  yes  no

If yes, please explain:

8. Does any agency principal have delinquent unpaid debts, including, but not limited to, loans, tax liens, outstanding civil judgments, child support payments, or alimony payments, exceeding, in total \$10,000?  yes  no

If yes, please explain:

9. Has **any licensed producer, officer, principal or member involved in the solicitation, negotiation or sale of insurance business on behalf of the agency** ever been convicted of, plead guilty or no contest to, or are you currently charged with or under investigation for any felony or any misdemeanor involving dishonesty or breach of trust?  
 yes  no

If yes, please explain:

10. Is **any licensed producer, officer, principal or member involved in the solicitation, negotiation or sale insurance business on behalf of the agency** currently, or have you

ever been, the subject of a legal complaint, investigation, or State or Federal proceeding involving a licensure disciplinary action?     yes             no

If yes, please explain:

11.    Have you received notice from any of your companies of their intent to cancel your Agency-Company agreement?             yes             no

If yes, please explain:

12.    Is the Agency in good standing with the Texas Department of Insurance, all Texas taxing authorities and the Internal Revenue Service?     yes     no

If no, please explain:

13.    Have you purchased or merged with any other agencies within the past three years?     yes         no

If yes, please explain:

14.    Are you, as an owner, aware of any other facts not disclosed in this process which could have a material adverse effect on the operations or the value of the Agency?     yes     no

If yes, please explain:

**I certify that the answers given above are complete and true to the best of my knowledge.**

**For the Agency:**

Agency Name \_\_\_\_\_

Owner \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

Owner \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

Owner \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

Owner \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

Owner \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

Owner \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_